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NOTICE OF ALLOWANCE AND FEE(S) DUE

29154

7590

05/13/2009

FREDERICK W. GIBB, III
Gibb Intellectual Property Law Firm, LLC
2568-A RIVA ROAD
SUITE 304
ANNAPOLIS, MD 21401

EXAMINER

PADMANABHAN, KAVITA

ART UNIT

PAPER NUMBER

2161

DATE MAILED: 05/13/2009

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/624,069	07/21/2003	Rakesh Agrawal	ARC920030034US1	6946

TITLE OF INVENTION: MINING ASSOCIATION RULES OVER PRIVACY PRESERVING DATA

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1510	\$300	\$0	\$1810	08/13/2009

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. **PROSECUTION ON THE MERITS IS CLOSED.** THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN **THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE** OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. **THIS STATUTORY PERIOD CANNOT BE EXTENDED.** SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

A. If the status is the same, pay the TOTAL FEE(S) DUE shown above.

B. If the status above is to be removed, check box 5b on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or

If the SMALL ENTITY is shown as NO:

A. Pay TOTAL FEE(S) DUE shown above, or

B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FEE shown above.

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: Mail **Mail Stop ISSUE FEE**
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INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address)

Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.

29154 7590 05/13/2009
FREDERICK W. GIBB, III
Gibb Intellectual Property Law Firm, I.L.C.
2568-A RIVA ROAD
SUITE 304
ANNAPOLIS, MD 21401

Certificate of Mailing or Transmission

I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (571) 273-2885, on the date indicated below.

(Depositor's name)
(Signature)
(Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
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10/624,069 07/21/2003

Rakesh Agrawal

ARC920030034US1

6946

TITLE OF INVENTION: MINING ASSOCIATION RULES OVER PRIVACY PRESERVING DATA

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nonprovisional	NO	\$1510	\$300	\$0	\$1810	08/13/2009

EXAMINER	ART UNIT	CLASS-SUBCLASS
PADMANABHAN, KAVITA	2161	707-101000

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

- ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.
☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a **Customer Number** is required.

2. For printing on the patent front page, list

- (1) the names of up to 3 registered patent attorneys or agents OR, alternatively, 1 _____
 (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed. 2 _____
 3 _____

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

(B) RESIDENCE: (CITY AND STATE OR COUNTRY)

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☐ Corporation or other private group entity ☐ Government

4a. The following fee(s) are submitted:

- ☐ Issue Fee
☐ Publication Fee (No small entity discount permitted)
☐ Advance Order - # of Copies _____

4b. Payment of Fee(s): (Please first reapply any previously paid issue fee shown above)

- ☐ A check is enclosed.
☐ Payment by credit card. Form PTO-2038 is attached.
☐ The Director is hereby authorized to charge the required fee(s), any deficiency, or credit any overpayment, to Deposit Account Number _____ (enclose an extra copy of this form).

5. Change in Entity Status (from status indicated above)

- ☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27. ☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

Authorized Signature _____ Date _____
 Typed or printed name _____ Registration No. _____

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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FREDERICK W. GIBB, III Gibb Intellectual Property Law Firm, LLC 2568-A RIVA ROAD SUITE 304 ANNAPOLIS, MD 21401			PADMANABHAN, KAVITA	
			ART UNIT	PAPER NUMBER
			2161	
			DATE MAILED: 05/13/2009	

Determination of Patent Term Adjustment under 35 U.S.C. 154 (b) (application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 581 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 581 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (<http://pair.uspto.gov>).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.

Notice of Allowability**Application No.**

10/624,069

Applicant(s)

AGRAWAL ET AL

Examiner

Kavita Padmanabhan

Art Unit

2161

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address--

All claims being allowable, PROSECUTION ON THE MERITS IS (OR REMAINS) CLOSED in this application. If not included herewith (or previously mailed), a Notice of Allowance (PTOL-85) or other appropriate communication will be mailed in due course. **THIS NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RIGHTS.** This application is subject to withdrawal from issue at the initiative of the Office or upon petition by the applicant. See 37 CFR 1.313 and MPEP 1308.

1. ☒ This communication is responsive to amendment filed on 2/13/09.
2. ☒ The allowed claim(s) is/are 1-24.
3. ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
a) ☐ All b) ☐ Some* c) ☐ None of the:
1. ☐ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. _____.
3. ☐ Copies of the certified copies of the priority documents have been received in this national stage application from the International Bureau (PCT Rule 17.2(a)).

* Certified copies not received: _____.

Applicant has THREE MONTHS FROM THE "MAILING DATE" of this communication to file a reply complying with the requirements noted below. Failure to timely comply will result in ABANDONMENT of this application.
THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.

4. ☐ A SUBSTITUTE OATH OR DECLARATION must be submitted. Note the attached EXAMINER'S AMENDMENT or NOTICE OF INFORMAL PATENT APPLICATION (PTO-152) which gives reason(s) why the oath or declaration is deficient.
5. ☐ CORRECTED DRAWINGS (as "replacement sheets") must be submitted.
(a) ☐ including changes required by the Notice of Draftsperson's Patent Drawing Review (PTO-948) attached
1) ☐ hereto or 2) ☐ to Paper No./Mail Date _____.
(b) ☐ including changes required by the attached Examiner's Amendment / Comment or in the Office action of Paper No./Mail Date _____.
Identifying indicia such as the application number (see 37 CFR 1.84(c)) should be written on the drawings in the front (not the back) of each sheet. Replacement sheet(s) should be labeled as such in the header according to 37 CFR 1.121(d).
6. ☐ DEPOSIT OF and/or INFORMATION about the deposit of BIOLOGICAL MATERIAL must be submitted. Note the attached Examiner's comment regarding REQUIREMENT FOR THE DEPOSIT OF BIOLOGICAL MATERIAL.

Attachment(s)

1. ☐ Notice of References Cited (PTO-892)
2. ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
3. ☐ Information Disclosure Statements (PTO/SB/08),
Paper No./Mail Date _____
4. ☐ Examiner's Comment Regarding Requirement for Deposit of Biological Material
5. ☐ Notice of Informal Patent Application
6. ☐ Interview Summary (PTO-413),
Paper No./Mail Date _____
7. ☒ Examiner's Amendment/Comment
8. ☐ Examiner's Statement of Reasons for Allowance
9. ☐ Other _____.

EXAMINER'S AMENDMENT

1. An examiner's amendment to the record appears below. Should the changes and/or additions be unacceptable to applicant, an amendment may be filed as provided by 37 CFR 1.312. To ensure consideration of such an amendment, it MUST be submitted no later than the payment of the issue fee.

Authorization for this examiner's amendment was given in a telephone interview with Pamela M. Riley on 5/6/09.

2. Please amend the claims in accordance with the following complete listing:

1. (Currently Amended) A computer-implemented method of mining association rules over transactions from datasets while maintaining privacy of individual transactions within said datasets through randomization, said method comprising:

randomizing, by a server, an original dataset to create a randomized dataset, said randomizing comprising:

randomly selecting true items from each transaction in said original dataset;

randomly dropping some of said true items that were randomly selected; and

randomly replacing some of said true items that were randomly dropped with false items;

collecting, by said server, said randomized dataset in a database;

determining, by said server, support of an association rule in said randomized dataset;

estimating, by said server, support of said association rule in said original dataset based on said support of said association rule in said randomized dataset; and

outputting, by said server, said association rule ~~if~~when said support of said association rule in said original data set is estimated to be greater than a predetermined minimum,

wherein, due to said randomizing, privacy breaches of said individual transactions are controlled.

2. (Previously Presented) The method according to claim 1, wherein said randomizing comprises per transaction randomizing, such that randomizing operators are applied to each transaction independently.

3. (Previously Presented) The method according to claim 1, wherein said randomizing is item-invariant such that a reordering of said transactions does not affect outcome probabilities.

4. (Previously Presented) The method according to claim 1, wherein said dropping and said replacing are carried out to an extent such that the chance of finding a false itemset in a randomized transaction relative to the chance of finding a true itemset in said randomized transaction is above a predetermined threshold.

5. (Currently Amended) The method according to claim ~~7~~4, wherein said predetermined threshold provides that the chance of finding a false itemset in said randomized transaction is approximately equal to the chance of finding a true itemset in said randomized transaction.

6. (Previously Presented) The method according to claim 1, wherein said dropping and said replacing are performed independently on said transactions prior to said transactions being collected in a database.

7. (Currently Amended) A computer-implemented method of mining association rules from databases while maintaining privacy of individual transactions within said databases through randomization, said method comprising:

randomizing, by a server, an original dataset to create a randomized dataset, said randomizing comprising:

randomly selecting true items from each transaction in said original dataset;

randomly dropping some of said true items that were randomly selected;

randomly replacing some of said true items that were randomly dropped with false items;

collecting, by said server, said randomized dataset in a database;

mining, by said server, said database to recover an association rule in said original dataset after said dropping and replacing processes, wherein said mining comprising:

determining support for said association rule in said randomized dataset;

estimating support of said association rule in said original dataset based on said support of said association rule in said randomized dataset; and

outputting said association rule ~~if~~when said support of said association rule in said original data set is estimated to be greater than a predetermined minimum, wherein, due to said randomizing, privacy breaches of said individual transactions are controlled during said mining.

8. (Previously Presented) The method according to claim 7, wherein said randomizing comprises per transaction randomizing, such that randomizing operators are applied to each transaction independently.

9. (Previously Presented) The method according to claim 7, wherein said randomizing is item-invariant such that a reordering of said transactions does not affect outcome probabilities.

10. (Previously Presented) The method according to claim 7, wherein said dropping and said replacing are carried out to an extent such that the chance of finding a false itemset in a randomized transaction relative to the chance of finding a true itemset in said randomized transaction is above a predetermined threshold.

11. (Currently Amended) The method according to claim ~~7~~10, wherein said predetermined threshold provides that the chance of finding a false itemset in said randomized transaction is approximately equal to the chance of finding a true itemset in said randomized transaction.

12. (Previously Presented) The method according to claim 7, wherein said dropping and said

replacing are performed independently on said transactions prior to said transactions being collected in said database.

13. (Currently Amended) A computer-implemented method of mining association rules from datasets while maintaining privacy of individual transactions within said datasets through randomization, said method comprising:

- creating, by a server, randomized transactions from an original dataset by:

 - randomly selecting true items from each transaction in said original dataset;

 - randomly dropping some of said true items that were randomly selected; and

 - randomly replacing some of said true items that were randomly dropped with false items;

- creating, by said server, a randomized dataset by collecting said randomized transactions;

- collecting, by said server, said randomized dataset in a database; and

- mining, by said server, said database to recover an association rule in said original dataset after said dropping and replacing processes, wherein said mining comprises:

 - determining support for said association rule in said randomized dataset;

 - estimating support of said association rule in said original dataset based on said support for said association rule in said randomized dataset; and

 - outputting said association rule if when said support of said association rule in said original data set is estimated to be greater than a predetermined minimum, wherein, due to said creating of said randomized transactions, privacy breaches of said individual transactions are controlled during said mining.

14. (Currently Amended) The method according to claim ~~12~~13, wherein said process of creating randomized transactions comprises per transaction randomizing, such that randomizing operators are applied to each transaction independently.

15. (Previously Presented) The method according to claim 13, wherein said process of creating randomized transactions is item-invariant such that a reordering of said transactions does not affect outcome probabilities.

16. (Previously Presented) The method in claim 13, wherein said dropping and said replacing are carried out to an extent such that the chance of finding a false itemset in a randomized transaction relative to the chance of finding a true itemset in said randomized transaction is above a predetermined threshold.

17. (Previously Presented) The method according to claim 16, wherein said predetermined threshold provides that the chance of finding a false itemset in said randomized transaction is approximately equal to the chance of finding a true itemset in said randomized transaction.

18. (Previously Presented) The method according to claim 13, wherein said process of creating randomized transactions is performed independently on said transactions prior to the transactions being collected in said database.

19. (Currently Amended) A computer program product on a computer-readable medium and tangibly embodying a program of instructions executable by a computer to perform a method of mining association rules from databases while maintaining privacy of individual transactions within said databases through randomization, said method comprising:

randomizing an original dataset to create a randomized dataset, said randomizing comprising:

randomly selecting true items from each transaction in said original dataset;
randomly dropping some of said true items that were randomly selected; and
randomly replacing some of said true items that were randomly dropped with false items;

collecting said randomized dataset in a database; and

mining said database to recover an association rule in said original dataset after said dropping and replacing processes, wherein said mining comprises:

determining support for said association rule in said randomized dataset;
estimating support of said association rule in said original dataset based on said support of said association rule in said randomized dataset; and

outputting said association rule ~~if~~when said support of said association rule in said original data set is estimated to be greater than a predetermined minimum,

wherein, due to said randomizing, privacy breaches of said individual transactions are controlled during said mining.

20. (Previously Presented) The computer program product according to claim 19, wherein said randomizing comprises per transaction randomizing, such that randomizing operators are applied to each transaction independently.

21. (Previously Presented) The computer program product according to claim 19, wherein said randomizing is item-invariant such that a reordering of said transactions does not affect outcome probabilities.

22. (Previously Presented) The computer program product according to claim 19, wherein said dropping and said replacing are carried out to an extent such that the chance of finding a false itemset in a randomized transaction relative to the chance of finding a true itemset in said randomized transaction is above a predetermined threshold.

23. (Previously Presented) The computer program product according to claim 22, wherein said predetermined threshold provides that the chance of finding a false itemset in said randomized transaction is approximately equal to the chance of finding a true itemset in said randomized transaction.

24. (Previously Presented) The computer program product according to claim 19, wherein said dropping and said replacing are performed independently on said transactions prior to said

transactions being collected in said database.

Conclusion

3. Any inquiry concerning this communication or earlier communications from the examiner should be directed to **Kavita Padmanabhan** whose telephone number is **(571)272-8352**. The examiner can normally be reached on Monday-Friday, 9:00am-5:30pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Apu Mofiz can be reached on 571-272-4080. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

Kavita Padmanabhan
Patent Examiner
AU 2161

May 8, 2009

/Kavita Padmanabhan/